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Dated: April 13, 2005 Signature: \_\_\_\_\_

( Kerry Keenan )

Docket No. 146712010300  
Client Reference: STL-3187  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Norbert PARSONEAULT et al.

Serial No.: 10/600,096

Filing Date: June 20, 2003

For: ROTOR LIMITER FOR FLUID  
DYNAMIC BEARING MOTOR

Examiner: T. Lam

Group Art Unit: 2834

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

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Application No.: 10/600,096

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This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
- ☐ A fee is required. A check in the amount of \_\_\_ is enclosed.
- ☒ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of \_\_\_ is enclosed.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist;

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(iii) the information, protocols, results and the like reported by third parties are accurate or enabling;  
or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 146712010300. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: April 12, 2005

Respectfully submitted,

By   
Rick Shoop

Registration No.: 45,763  
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Palo Alto, California 94304-1018  
(650) 813-5804

pa-971730

APR 13 2005

ALTERNATIVE TO PTO/SB/08a/b (06-03)

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>			
		Application Number	10/600,096		
		Filing Date	June 20, 2003		
		First Named Inventor	Norbert PARSONEAULT		
		Art Unit	2834		
		Examiner Name	T. Lam		
Sheet	1	of	1	Attorney Docket Number	146712010300

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-5,751,080	5/12/1998	Hong	
	AB	US-6,172,847	1/9/2001	Maeda et al.	
	AC	US-6,339,270	1/15/2002	Ichiyama	
	AD	US-6,361,214	3/26/2002	Ichiyama	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> -Number <sup>3</sup> -Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
	BA	JP 2000 149395 A Abstract	5/30/2000	Hitachi Ltd.		(Abstract)
	BB	JP 2000 235766 A Abstract	8/29/2000	Hitachi Ltd.		(Abstract)
	BC	JP 2002 125344 A Abstract	4/26/2002	Hitachi Ltd.		(Abstract)
	BD	JP 2002 165409 A Abstract	6/7/2002	Nippon Densan Corp.		(Abstract)
	BE	JP 03 176856 A Abstract	7/31/1991	Seiko Epson Corp.		(Abstract)
	BF	JP 07 310739 A Abstract	11/28/1995	Sankyo Seiki Mfg. Co.		(Abstract)
	BG	JP 09 149614 A Abstract	6/6/1997	Matsushita Electric Ind. Co. Ltd.		(Abstract)
	BH	JP 09 317755 A Abstract	12/9/1997	Japan Servo Co. Ltd.		(Abstract)

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	International Search Report mailed December 2, 2003, for PCT patent application no. PCT/US03/19621, filed June 20, 2003: 4 pages.	

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Date Considered
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sf- 1852058

ALTERNATIVE TO PTO/SB/08a/b (08-03)

Substitute for form 1449/PTO		<b>Complete if Known</b>	
		Application Number	10/600,096
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Filing Date	June 20, 2003
		First Named Inventor	Norbert PARSONEAULT
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	BE	JP 03 176856 A Abstract	7/31/1991	Seiko Epson Corp.		(Abstract)
	BF	JP 07 310739 A Abstract	11/28/1995	Sankyo Seiki Mfg. Co.		(Abstract)
	BG	JP 09 149614 A Abstract	6/8/1997	Matsushita Electric Ind. Co. Ltd.		(Abstract)
	BH	JP 09 317755 A Abstract	12/9/1997	Japan Servo Co. Ltd.		(Abstract)

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	CA	International Search Report mailed December 2, 2003, for PCT patent application no. PCT/US03/19621, filed June 20, 2003: 4 pages.	

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